

FORM PTO 1390 (REV. 01-2003)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY DOCKET NUMBER 5077-00004
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		U.S. APPLICATION NO. (if known) see 37 CFR 1.51 <b>10/502241</b>

INTERNATIONAL APPLICATION NO. PCT/EP02/10692	INTERNATIONAL FILING DATE 24 September 2002	PRIORITY DATE CLAIMED 24 January 2002
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TITLE OF INVENTION  
A Process for Treating a Surface

APPLICANT(S) FOR DO/EO/US  
Pierre Rochat

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:
  - ☐ Applicant claims small entity status.
  - ☒ Supplement to Transmittal Letter.
  - ☐ Copy of Notification Concerning Submission or Transmittal of Priority Document.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <div style="font-size: 24pt; font-weight: bold; text-align: center;">10/502241</div>	INTERNATIONAL APPLICATION NO. PCT/EP02/10692	ATTORNEY'S DOCKET NUMBER 5077-00004
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21. <input checked="" type="checkbox"/> The following fees are submitted:  <div style="margin-left: 20px;"> <b>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</b>             Neither international preliminary examination fee (37 CFR 1.482)            nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO            and International Search Report not prepared by the EPO or JPO..... \$ 1,080.00             International preliminary examination fee (37 CFR 1.482) not paid to            USPTO but International Search Report prepared by the EPO or JPO..... \$ 920.00             International preliminary examination fee (37 CFR 1.482) not paid to USPTO            but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$ 770.00             International preliminary examination fee (37 CFR 1.482) paid to USPTO            but all claims did not satisfy provisions of PCT Article 33(1)-(4).. \$ 730.00             International preliminary examination fee (37 CFR 1.482) paid to USPTO            but all claims satisfied provisions of PCT Article 33(1)-(4)..... \$ 100.00   <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div> </div>	<b>CALCULATIONS PTO USE ONLY</b>                      <div style="border: 1px solid black; height: 100px; width: 100%;"></div>
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Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	15 - 20 =	0	x \$ 18.00		
Independent Claims	1 - 3 =		x \$ 86.00		
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$290.00		
TOTAL OF ABOVE CALCULATIONS =				\$	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				-	
SUBTOTAL =				\$	
Processing fee of \$130.00 for furnishing the English Translation later than 30 months months from the earliest claimed priority date (37 C.F.R. 1.492(f)).				+	
TOTAL NATIONAL FEE =				\$1,080.00	
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). <b>\$40.00</b> per property				+	
TOTAL FEES ENCLOSED =				\$1,080.00	
				Amount to be refunded:	
				Charged:	

a. ☒ A check in the amount of \$ 1,080.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
 A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 01.2000. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

ANDRUS, SCEALES, STARKE & SAWALL, LLP

100 East Wisconsin Avenue, Suite 1100

Milwaukee, Wisconsin 53202

Phone: (414) 271-7590

Fax: (414) 271-5770

Joseph J. Jochman

Signature

Date

Joseph J. Jochman

25.058

Name

Reg. No.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>10/502241</b>	INTERNATIONAL APPLICATION NO. PCT/ EP02/10692	ATTORNEY'S DOCKET NUMBER 5077-00004
CERTIFICATE OF EXPRESS MAIL		
<p>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as EXPRESS MAIL-POST OFFICE TO ADDRESSEE, in an envelope addressed to: BOX PCT, COMMISSIONER OF PATENTS P.O. Box 1450, Alexandria, VA 22313-1450 on the <u>21st</u> day of July, 2004. Express Mail Label <u>EV477159134US</u>.</p> <p><u>Barbara A. Johnson</u></p> <p>Name _____</p> <p><u>Barbara A. Johnson</u> <u>July 21, 2004</u></p> <p>Signature _____ Date _____</p>		

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of	)	Group Art Unit:
PIERRE ROCHAT	)	Examiner:
Int'l. Appln. No. PCT/ EP02/10692	)	A PROCESS FOR TREATING A SURFACE
Int'l. Filing Date: 24 September 2002	)	

## SUPPLEMENT TO TRANSMITTAL LETTER

Box PCT Application  
Commissioner for Patents  
P.O. Box 1450  
Arlington, VA 22313-1450

Sir:

Entry of this application into the National Phase in the United States is pursuant to 37 C.F.R. §1.494(c)/1.495(c). The oath or declaration of the applicant is not included. The declaration will be forwarded promptly upon notification from the U.S. Patent and Trademark Office.

Respectfully submitted,

ANDRUS, SCEALES, STARKE & SAWALL, LLP



Joseph J. Jochman  
Reg. No. 25,058

100 East Wisconsin Avenue, Suite 1100  
Milwaukee, Wisconsin 53202  
(414) 271-7590  
Atty. Docket No.: 5077-00004